



PATENT
450101-03306

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Satoshi TAKAGI et al.
Serial No.: 10/009,152
Filed: May 7, 2002
For: ASSET MANAGEMENT SYSTEM AND ASSET
MANAGEMENT METHOD
Examiner: Baig, Sahar A
Art Unit: 2424
Confirmation No.: 8644

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FIRST CLASS MAIL

Date of Deposit: November 10, 2010

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

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(Name of Applicant, Assignee or Registered Representative)

(Signature)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 (c)
AND CERTIFICATION UNDER 37 C.F.R. § 1.97 (e)

Mail Stop Amendment
Commissioner for Patents
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Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants are filing this Information Disclosure Statement and the accompanying form PTO/SB/08a and

08b along with a copy of the prior art therein identified. Such prior art has come to the attention of applicants by being cited in an Office Action issued in the corresponding Japanese application on September 14, 2010. So far as applicants are aware, this Information Disclosure Statement is being filed before the mailing date of the final Office Action or Notice of Allowance.

Applicants' undersigned attorney hereby certifies pursuant to 37 C.F.R. 1.97(e) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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